



**B & A Limited**

Corporate Office : 113 Park Street, 9th Floor, Kolkata -700 016  
Phone : 2265 -7389, 2229 -5098, 2217- 6815, 2227-2131  
Fax : 033- 2265 -1388, E-mail : contact@barooahs.in  
Website : www.barooahs.com, CIN : L01132AS1915PLC000200

**B & A/KOL/DDC/129**

To,  
The General Manager,  
Department of Corporate Affairs,  
Bombay Stock Exchange Ltd.  
P. J. Towers, Dalal Street,  
Mumbai- 400001

Date: 27/05/2019

Dear Sir,

**Subject- Submission of Annual Secretarial Compliance Report for the financial year ended 31<sup>st</sup> March 2019 (Scrip Code: 508136)**

In compliance with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February 2019, please find enclosed Annual Secretarial Compliance Report for the financial year ended 31<sup>st</sup> March 2019 issued by CS Binita Pandey, Practicing Company Secretary (ACS-41594/CP No.-19730) of T. Chatterjee & Associates, Practicing Company Secretaries.

Yours faithfully  
For B & A Limited

D. Chowdhury  
Company Secretary

Regd. Office : Indu Bhawan, M. G. Road, Jorhat - 785 001, E-mail : bapil.jorhat@gmail.com

Gardens : BARASALI • GATOONGA • KUHUM • MOKRUNG • NEW SAMAGURI • SALKATHONI • SAMAGURI • SANGSUA

**SECRETARIAL COMPLIANCE REPORT OF**

**B & A LIMITED**

**For the financial year ended 31<sup>st</sup> March,2019**

[Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements)  
(Amendment) Regulations, 2018

To  
The members  
B & A Limited

We have examined:

- (a) all the documents and records made available to us and explanation provided by **B & A Limited, having Registered office at Indu Bhawan, Mahatama Gandhi Road, Jorhat, Assam – 785001 and Corporate Office at 113, Park Street, 9<sup>th</sup> Floor, Kolkata- 700016, Listed on BSE, Scrip Code- 508136** ( hereinafter referred as "the listed entity")
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

**for the year ended 31<sup>st</sup> March,2019** (herein after referred as the "Review Period") in respect of compliance with the provisions, to the extent applicable to the listed entity of:

- (i) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (ii) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined to the extent applicable to the listed entity, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (d) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and based on the above examination, we hereby report that, during the Review Period the listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.



We report that the listed entity has complied the applicable clauses of the Securities and Exchange Board of India (Listing Obligation & Disclosure Requirements) Regulations, 2015 with respect to corporate governance provisions as specified in regulation 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 and clauses (a) to (i) of sub-regulation (2) of regulation 46 and para A, B, C, D and E of Schedule V

Based on the above examination, We hereby report that, during the Review Period:

- (a) The Listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder.
- (b) The Listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) During the period under review there was no action taken against the listed entity/its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges.

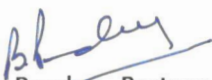
We further report that based on the information received and records maintained there are adequate systems and processes in the listed entity with the size and operations of the listed entity to monitor and ensure compliance with applicable laws, rules, regulations and guidelines.

*However, we report that 300 Equity Shares of the Company is held by the promoters in physical form, out of which 200 shares are exempted under SEBI Circular No. SEBI/CIR/ISD/1/2012 dated March 30, 2012, Clause 3(c) in arriving at compliance with 100% Promoters holding in demat form.*

Place: Kolkata

Date: 10-05-2019

For T.Chatterjee & Associates  
FRN No. - P2007WB067100

  
Binita Pandey - Partner  
Membership No: 41594  
COP No. : 19730