



B & A Limited

Corporate office: 113, Park Street, 9th Floor, Kolkata-700016, Phone: (033) 2265-7389, 2229-5098, 2217-6815, 2227-2131, Fax-(033) 2265-1388, Email- contact@barooahs.in
Website-www.barooahs.com, CIN-L01132AS1915PLC000200

B & A/KOL/DDC/230

To,

Date: 27th June 2020

The General Manager,
Department of Corporate Affairs,
Bombay Stock Exchange Ltd.
P. J. Towers, Dalal Street,
Mumbai- 400001

Dear Sir,

Subject- Submission of Annual Secretarial Compliance Report for the financial year ended 31st March 2020 (Scrip Code: 508136)

In compliance with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February 2019, please find enclosed Annual Secretarial Compliance Report for the financial year ended 31st March 2020 issued by CS Binita Pandey, Practicing Company Secretary (ACS-41594/CP No.-19730) of T. Chatterjee & Associates, Practicing Company Secretaries.

Yours faithfully
For B & A Limited

D. Chowdhury
Company Secretary

Encl: As above

**SECRETARIAL COMPLIANCE REPORT OF
B&A Limited**

For the period 01-04-2019 to 31-03-2020

[Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements)
(Amendment) Regulations, 2018]

To,
The Members of
B&A Limited

We, T.Chatterjee & Associates have examined:

- (a) the documents and records made available to us and explanation given by **B & A Limited**, having Registered office at Indu Bhawan, Mahatama Gandhi Road, Jorhat, Assam – 785001, listed on BSE, **Scrp Code- 508136** (hereinafter referred as "**the listed entity**")
- (b) the filings/ submissions made by the listed entity to the stock exchange,
- (c) website of the listed entity,
- (d) books, papers, minute books, reports, statements and documents filed with the recognized stock exchange(s) on the electronic platform , other records maintained by the listed entity and electronics record of the official portal of the Stock Exchange

for the period 01-04-2019 to 31-03-2020 (herein after referred as the "Review Period") in respect of compliance with the provisions, to the extent applicable to the listed entity of:

- (i) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (ii) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");



The specific Regulations, as amended, whose provisions and the circulars/ guidelines issued thereunder, have been examined to the extent applicable to the listed entity, include: -

- a. The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- b. The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- c. The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- d. The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993.
- e. The Securities and Exchange Board of India (Share Based Employee Benefits) Regulation, 2014. (not applicable to the listed entity during review period)
- f. The Securities and Exchange Board of India (Listing Obligation & Disclosure Requirements) Regulations, 2015;
- g. The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (not applicable to the listed entity during review period)
- h. The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009; (not applicable to the listed entity during review period)
- i. The Securities and Exchange Board of India (Buyback of Securities) Regulations 2018 ; (not applicable to the Company during review period)
- j. The Securities Contracts (Regulation) Act, 1956 ('**SCRA**') and the rules made thereunder;
- k. The Depositories Act, 1996 and the Regulations and Bye-laws framed thereunder; and based on the above examination, we hereby report that, during the Review Period :



- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except the matter specified below :

Sr. No.	Compliance (Regulations/ circulars/ guidelines including specific clause)	Deviations	Observations/Remarks of the Practicing Company Secretary
Nil			

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in so far as it appears from our examination of those records.
- (c) The followings are the details of actions taken against the listed entity/ its promoters/ directors either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under :

Sr. No.	Action Taken	Details of violation	Details of action taken e.g. warning, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
Nil				



- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 st March, 2019 <i>(The years are to be mentioned)</i>	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
<p>The Listed Company has converted its Promoter Holding in 100 % demat form as on the end of review period , hence complied with all the applicable Regulations, as observed in the previous report dated 10th May,2019.</p>				

Place: Kolkata

Date: 27-06-2020

For **T.Chatterjee & Associates**
Company Secretaries
FRN No. - P2007WB067100



Binita Pandey - Partner
ACS : 41594, CP : 19730
UDINA041594B000387821

